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Assistant Commissioner for Patents
Washington, D.C. 20231

PATENT
Attorney Docket No.: 20949P-000800US
Client Reference N6.: CNI #5

#9
11017 U.S. PTO
10/076906
02/13/02


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Richard Meyer et al.

Application No.: Unassigned

Filed: February 12, 2002

For: Failover Processing In A Storage
System

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-

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Application No.: Unassigned
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PATENT

1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted
Deposit Account.

Respectfully submitted,



Charles Hamilton
Reg. No. 42,624

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

Complete If Known

Application Number	Unassigned
Filing Date	February 13, 2002
First Named Inventor	Meyer, Richard
Group Art Unit	Unassigned
Examiner Name	Unassigned

Attorney Docket Number 20949P-000800US

11947 155 PTO
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110



U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet

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Application Number	Unassigned
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First Named Inventor	Meyer, Richard
Group Art Unit	Unassigned
Examiner Name	Unassigned

Attorney Docket Number

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Sheet

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Application Number	Unassigned
Filing Date	February 13, 2002
First Named Inventor	Meyer, Richard
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	20949P-000800US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BC	Leslie Lamport et al., Reaching Agreement in the Presence of Faults, Journal of the Association for Computing Machinery, Vol. 27, No. 2, April 1980, pgs. 228-234.	
	BD	Leslie Lamport et al., The Byzantine Generals Problem, ACM Transactions on Programming Languages and Systems, Vol. 4, No. 3, July 1982, pgs. 382-401.	
	BE	F.B. Schneider Implementing Fault Tolerant Services Using the State Machine Approach: A Tutorial, ACM Computing Surveys, Vol. 22, No. 4, December 1990, pgs. 299-319.	
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